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QUADRATIC NUAT B-SPLINE CURVES WITH MULTIPLE SHAPE PARAMETERS

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Abstract- In this paper a new kind of splines, called quadratic non-uniform algebraic trigonometric B-splines (quadratic NUAT B-splines) with multiple shape parameters are constructed over the space spanned by $\{1, t, t^2, sint, cost, sin^2t, cos^2t\}$. As each piece of the curves is generated by three consecutive control points, they possess many properties of the quadratic B-spline curves and quadratic trigonometric B-spline curves. These curves have C^1 continuity with non-uniform knot vector. These curves are closer to the control polygon than the quadratic B-spline curves and quadratic trigonometric B-spline curves when choosing special shape parameters. The shape parameters serve to local control in the curves. The changes of one shape parameter will only affect two curve segments. Taking different values of the shape parameters, one can globally or locally adjust the shapes of the curves. The generation of tensor product surfaces by these new splines is straightforward. **Keywords-** Quadratic NUAT B-spline; shape parameter; continuity; spline surface.

Introduction

The trigonometric B-splines were presented in [12]. The recurrence relation for the trigonometric B-splines of arbitrary order was established in [8]. The construction of exponential tension B-splines of arbitrary order was given in [7]. It was further shown in [13] that the trigonometric B-splines of odd order form a partition of a constant in the case of equidistant knots.

In recent years, several bases in new spaces other than the polynomial space have been proposed for geometric modeling in CAGD. For instance, in [10] a basis is constructed for C_m =span {1, cost, ..., cosmt}. In [11] a basis for the space of trigonometric polynomials { 1, sint, cost, ..., sinmt, cosmt } is constructed. Some bases are constructed in [9] for the spaces { 1, t, cost, sint, cos2t, sin2t, $\{1, t, t^2, cost, sint\}$ and $\{$ 1, t, cost, sint, tcost, tsint}. In [1] C-Bézier curves are constructed the spanned in space by $\{1, t, t^2, ..., t^{n-2}, sint, cost\}$. Non-uniform algebraic trigonometric B-splines (NUAT B-splines), are generated in space the spanned by [14] over $\{1, t, ..., t^{k-3}, cost, sint\}$ in which k is an arbitrary integer larger than or equal to 3. But all these curves do not have any shape parameter. In [15, 16] C-B-splines are presented in the space spanned by $\{1, t, sint, cost\}$ with parameter α .

In order to improve the shape of the curve and adjust the extent to which a curve approaches its control polygon. some trigonometric curves are presented by using global shape parameters in [2, 5, 6]. In [3, 4] quadratic trigonometric polynomial curves with local shape parameters are constructed. A new kind of B-splines, guadratic non-uniform algebraic trigonometric (NUAT) Bsplines with multiple shape parameters, is presented in this paper. The present paper is organized as follows. In section 2, the basis functions with multiple shape parameters of the Quadratic NUAT B-Spline Curves are established and the properties of the basis functions are shown. In section 3, Quadratic NUAT B-Spline Curves are given. Continuity of the curves, Open and closed trigonometric, and shape control of the curves are discussed. In section 4, the corresponding Quadratic NUAT Bézier Curves with multiple shape parameters are defined and their properties are given. The Quadratic NUAT B-Spline Curve approaches to the control polygon by increasing the shape parameters. It is shown in section 5 that the quadratic non-uniform algebraic trigonometric (NUAT) B-splines are closer to the control polygon than the quadratic algebraic B-spline curves, cubic algebraic Bspline curves and the quadratic trigonometric polynomial curves of [5] when choosing special shape parameters. The corresponding quadratic non-uniform algebraic trigonometric (NUAT) B-spline surfaces with multiple shape parameters are defined in section 6. Our results are supported by various numerical examples in each section.

Quadratic NUAT Basis Functions

2.1 The construction of the basis functions Definition1. Given Knots $u_0 < u_1 < u_2 < \dots < u_{n+3}$ and refer to U= $(u_0, u_1, u_2, \dots . . u_{n+3})$ as a knot vector. For shape parameters $\lambda_i \in [-2, a]$, where $a = 1 + 2(\frac{2}{\pi})^2$, let $\Delta u_i = u_{i+1} - u_i$ $\alpha_i = \frac{\Delta u_i}{\Delta u_{i-1} + \Delta u_i}$, $\beta_i = \frac{\Delta u_i}{\Delta u_i + \Delta u_{i+1}}$ $t_i(u) = \frac{\pi}{2}(\frac{u - u_i}{\Delta u_i})$ $c_i(t) = \frac{1}{2}\{(1 - \sin t)(1 - \lambda_{i-1}\sin t) + (1 - \frac{2}{\pi}t)^2\}$ $d_i(t) = \frac{1}{2}\{(1 - \cos t)(1 - \lambda_i\cos t) + (\frac{2}{\pi}t)^2\}$

Then the associated quadratic NUAT basis functions with multiple shape parameters are defined to be the following functions:

$$b_{i}(u) = \begin{cases} \beta_{i}d_{i}(t_{i}(u)), & \text{if } u \in [u_{i}, u_{i+1}), \\ 1 - \alpha_{i+1}c_{i+1}(t_{i+1}(u)) - \beta_{i+1}d_{i+1}(t_{i+1}(u)), \\ \text{if } u \in [u_{i+1}, u_{i+2}), \\ \alpha_{i+2}c_{i+2}(t_{i+2}(u)), & \text{if } u \in [u_{i+2}, u_{i+3}), \\ 0, & \text{if } u \notin [u_{i}, u_{i+3}). \end{cases}$$

$$(1)$$

For i=0,1,2,....,n.

The properties of the quadratic NUAT basis functions Theorem 1 The basis functions (1) have the following properties:

For a given value of knot $u \in [u_i, u_{i+1})$, $b_{i-2}(u)$ and $b_i(u)$ are monotonically decreasing as shape parameters λ_{i-1} and λ_i increase respectively and $b_{i-1}(u)$ is monotonically increasing with the increase in shape parameters λ_{i-1} and λ_i respectively. In view of the properties (a)-(d) we say that the basis functions has a support on the interval $[u_i, u_{i+3}] \forall i=0,1,2,....,n$. For equidistant knots, we refer to the $b_i(u)$ as a uniform basis function. Fig. 1 shows the curves of uniform basis functions for all $\lambda_i = -1.8$ (blue lines),

 $\begin{array}{ll} \lambda_i=0 \text{ (green lines), and } \lambda_i=1.8 \text{ (red lines) for the} \\ \text{knots } u_0=0, \ u_1=1, \ u_2=2, \ u_3=3, \ u_4=4, \\ u_5=5, u_6=6. \end{array}$ The basis functions defined over non-equidistant knots are called non-uniform basis functions. Fig 2 shows the curves of non-uniform basis functions for all $\lambda_i=-1.8$ (blue lines), $\lambda_i=0$ (green lines), and $\lambda_i=1.8$ (red lines) for the knots $u_0=0, \ u_1=0.7, \\ u_2=1.5, \ u_3=2.2, \ u_4=5, \ u_5=6.3, \ u_6=7. \end{array}$ The basis functions defined over equidistant knots are called uniform basis functions.





Proof- Consider the continuity at the knots u_{i+1} and u_{i+2} (we can deal with the knots u_i and u_{i+3} in the same way). Straightforward computation gives that

$$b_{i}(u_{i+1}^{-}) = \beta_{i} \qquad b_{i}(u_{i+1}^{+}) = 1 - \alpha_{i+1}$$

$$b_{i}(u_{i+2}^{-}) = 1 - \beta_{i+1} \qquad b_{i}(u_{i+2}^{+}) = \alpha_{i+2}$$

$$b'_{i}(u_{i+1}^{-}) = \frac{\beta_{i}}{\Delta u_{i}} \{ \frac{\pi}{4} (1 + \lambda_{i}) + 1 \},$$

$$b'_{i}(u_{i+1}^{+}) = \frac{\alpha_{i+1}}{\Delta u_{i+1}} \{ \frac{\pi}{4} (1 + \lambda_{i}) + 1 \}$$

$$\begin{split} b_i'(u_{i+2}^-) &= -\frac{\beta_{i+1}}{\Delta u_{i+1}} \{ \frac{\pi}{4} (1 + \lambda_{i+1}) + 1 \}, \\ b_i'(u_{i+2}^+) &= -\frac{\alpha_{i+2}}{\Delta u_{i+2}} \{ \frac{\pi}{4} (1 + \lambda_{i+1}) + 1 \} \\ \text{Since } \alpha_{j+1} &= 1 - \beta_j, \ \frac{\alpha_{j+1}}{\Delta u_{j+1}} = \frac{\beta_j}{\Delta u_j}, \\ (0 \le j \le n+1), \text{ we get } b_i^{(k)}(u_{i+1}^-) &= b_i^{(k)}(u_{i+1}^+) \\ \text{and } b_i^{(k)}(u_{i+2}^-) &= b_i^{(k)}(u_{i+2}^+), \text{ k=0,1} \\ \text{The theorem follows.} \end{split}$$

The case of multiple knots

So far in the discussion of the basis functions, we have assumed that each point is simple. On the other hand, the basis functions also make sense when knots are considered with multiplicity $k \leq 3$. For the quadratic NUAT basis functions, with multiple knots, it is worth notice that we shrink the corresponding intervals to zero and drop the corresponding pieces. For example if $u_{i+1} = u_{i+2}$ is a double knot, then we define

$$\begin{aligned}
b_i(u) &= \\ \begin{cases} \beta_i d_i(t_i(u)), & \text{if } u \in [u_i, u_{i+1}), \\ \alpha_{i+2} c_{i+2}(t_{i+2}(u)), & \text{if } u \in [u_{i+2}, u_{i+3}), \\ 0, & \text{if } u \in [u_i, u_{i+3}). \end{aligned}$$

Theorem 3 Suppose that a basis function has a knot of multiplicity k (k=2 or 3) at the parameter value u. Then at this point the continuity of the basis function is reduced from C^1 to C^{2-k} (C^{-1} means discontinuity). Moreover the support interval of the basis function is reduced from 3 segments to 4-k segments.

Proof It is direct application of (1) and the expressions given in the proof of Theorem 2.



Fig. 3





Fig 3 shows the curves of the quadratic NUAT basis functions for all $\lambda_i = -1.8$ (blue lines), $\lambda_i = 0$ (green lines), and $\lambda_i = 1.8$ (red lines) with a double knot ($u_0 = 0, u_1 = 1, u_2 = 2, u_3 = 3, u_4 = 3, u_5 = 4, u_6 = 5$). Fig 4 shows the curves of the quadratic NUAT basis functions for all $\lambda_i = -1.8$ (blue lines), $\lambda_i = 0$ (green lines), and $\lambda_i = 1.8$ (red lines) with a triple knot ($u_0 = 0, u_1 = 1, u_2 = 2, u_3 = 3, u_4 = 3, u_5 = 3, u_6 = 4$).

Quadratic NUAT Curves

Definition 2. Given points P_i (i=0, 1, 2, ..., n) in \mathbb{R}^2 or \mathbb{R}^3 and a knot vector U= $(u_0, u_1, \dots, u_{n+3})$. Then $T(u) = \sum^n b_i(u) P_i$.

$$n \ge 2, \ u \in [u_2, u_{n+1}],$$
(3)

is called a quadratic NUAT curve with multiple shape parameters.

Obviously, for $u \in [u_i, u_{i+1})$ $(2 \le i \le n)$ the curve T(u) can be represented by curve segment

$$T(u) = b_{i-2}(u)P_{i-2} + b_{i-1}(u)P_{i-1} + b_i(u)P_i$$

(4)

These curves have many properties of the quadratic Bspline algebraic curves and trigonometric curves of [2, 3, 4, 5, 6], such as geometric invariance, convex hull property, symmetry, variation diminishing and locality. Moreover,

$$T(u_i^+) = \alpha_i (P_{i-2} - P_{i-1}) + P_{i-1}$$

$$T(u_i^-) = \beta_{i-1} (P_{i-1} - P_{i-2}) + P_{i-2} = \alpha_i (P_{i-2} - P_{i-1}) + P_{i-1}$$

$$T'(u_i^+) = \frac{\alpha_i}{\Delta u_i} \{ \frac{\pi}{4} (1 + \lambda_{i-1}) + 1 \} (P_{i-1} - P_{i-2})$$

$$T'(u_i^-) = \frac{\beta_{i-1}}{\Delta u_{i-1}} \{ \frac{\pi}{4} (1 + \lambda_{i-1}) + 1 \} (P_{i-1} - P_{i-2})$$

The continuity of the quadratic NUAT curves Analogous to the quadratic B-spline curves, the choice of the knot vector automatically determines the continuity of the quadratic NUAT curves at each of the knots as shown by the following theorem. **Theorem 4** If a knot u_i has multiplicity k (k=1, 2 or 3) then the quadratic NUAT curves have C^{2-k} continuity. Proof It is a direct result of Theorem 2 and Theorem 3.

Open and closed quadratic NUAT curves Since the curve T(u) is generated on the interval [u_2, u_{n+1}], the choice of the first and last two knots is free and these knots can be adjusted to give the desired boundary behavior of the curve. See the following descriptions.

For an open algebraic trigonometric curve, we choose the knot vector U=(u_0 = u_1 = u_2 , u_3 ,, u_n , u_{n+1} = u_{n+2} = u_{n+3}). This assure that the points P_0 and P_n are points on the curve. Of course, the interior knots can be multiple knots. Fig. 5 shows open NUAT curves for all λ_i =-1.8, 0, 1.8 (red lines) respectively, open trigonometric curves of [5] for $\lambda_i = -1, 0, 1$ (blue lines), and the quadratic B-spline curves (green lines) corresponding to the same control polygon for a non uniform knot vector $u_0 = u_1 = u_2 = 3$, $u_3=5$, $u_4=5.5$, $u_5=8$, $u_6=9$, $u_7=10$, $u_8 = u_9 = u_{10} = 11$. As the shape parameters λ_i increases, the algebraic trigonometric curves (red lines) to the edge of control approach polygon. In order to construct closed quadratic algebraic trigonometric curves, we can extend the given points $P_0, P_1, P_2, \dots, P_n$ by setting $P_{n+1}=P_0$, $P_{n+2} = P_1$ and let $\Delta u_2 = \Delta u_{n+3}, \Delta u_1 = \Delta u_{n+2}$ (such that $T(u_2)=T(u_{n+3}), T'(u_2)=T'(u_{n+3}))$, $u_{n+5} \ge u_{n+4}$. Thus the parametric formula for a closed quadratic NUAT curve is

 $T(u) = \sum_{j=0}^{n+2} b_j(u) P_j$ $u \in [u_2, u_{n+3}]$ where $b_{n+1}(u)$ and $b_{n+2}(u)$ are given by expanding (1).







Fig. 6

Fig. 6 shows closed algebraic trigonometric curves for all $\lambda_i = -1.8, 0, 1.8$ (red lines) respectively, closed trigonometric curves of [5] for $\lambda_i = -1, 0, 1$ (blue lines), and the quadratic B-spline curves (green lines) corresponding to the same control polygon for a uniform knot vector.

Shape control of the curves

For $u \in [u_i, u_{i+1}], t_i = \frac{\pi(u-u_i)}{2\Delta u_i}$ we rewrite (4) as follows $T(u) = b_{i-2}(u)P_{i-2} + b_{i-1}(u)P_{i-1} + b_i(u)P_i$ $=\sum_{i=0}^{2} P_{i+j-2}r_j(t_i)$ + $(P_{i-2} - P_{i-1}) \frac{\alpha_i}{2} \lambda_{i-1} \sin t_i (\sin t_i - 1) +$ $(P_i - P_{i-1})\frac{\beta_i}{2}\lambda_i \cos t_i (\cos t_i - 1)$ (5) Where $r_0(t_i) = \frac{\alpha_i}{2} \{ 1 - \sin t_i + (1 - \frac{2}{\pi}t)^2 \}$ $r_2(t_i) = \frac{\beta_i}{2} \{ 1 - \cos t_i + (\frac{2}{\pi}t)^2 \}$

$$r_1(t_i) = \overline{1} - r_0(t_i) - r_2(t_i)$$

 $r_1(t_i)=1-r_0(t_i)-r_2(t_i)$ Obviously, shape parameters λ_{i-1} and λ_i only affect curves on the control edge $(P_{i-2} - P_{i-1})$ and $(P_i - P_{i-1})$ respectively for all i = 2, 3, ..., n+1. From (5) we can also predict the following behavior of the curves. The curve has local control due to small support of $b_i(u)$. From (5) we can also predict the following behavior of the curves. Change of one control point will alter at most three segments of the curve. So local adjustment can be made without disturbing the rest of the curve. The shape parameters λ_i also serve to effect local control in the curves. As λ_{i-1} increases, the curve moves in the direction of the edge $(P_{i-2} - P_{i-1})$ and as λ_{i-1} decreases the curve moves in the opposite direction to the edge $(P_{i-2} - P_{i-1})$ for all i = 2, 3, ..., n + 1. As the shape parameter $\lambda_{i-1} = \lambda_i$ the curve moves in the direction of or the opposite direction to the control point P_{i-1} , when $\lambda_{i-1} (= \lambda_i)$ increases or decreases for all

i = 2, 3, ..., n + 1. Table 1 shows some computed examples with different values of shape parameters λ_i . These curves are generated by setting λ =(1.8, 1.8, 1.8, 1.8, 1.8, 1.8, 1.8, 1.8) in (a), λ =(-1.5, -0.2, -1.8, 1.8, -1.5, -1, -1.5, 1.8, -1.8, -0.2, -1.5) in (b), λ =(0, 0, 0, 0, -1.8, 0, -1.8, 0, 0, 0, 0) in (c), λ =(1.8, 1.8, -1.4, -1.8, 1.4, 1.5, 1, -1.4, 1.8, 1.8, 1.6) in (d), λ =(-1, -1.4, 1.8, 1.8, 0, -1.5, 0, 1.8, -1.5, -1.5, 1.8, 1.8) in (d), λ =(-1, -1.4, 1.8, 1.8, 0, -1.5, 0, 1.8, 1.8, -1.4, -1) in (e), λ =(1.8, 1.8, 0.5, -1.

Quadratic NUAT Bézier curve with multiple shape parameters

Let $u_i < u_{i+1}$, u_i and u_{i+1} be double points (u_i is a triple point when i=2 and u_{i+1} is a triple point when i=n), thus we have $\Delta u_{i-1} = \Delta u_{i+1} = 0$, so we obtain the Quadratic NUAT Bézier basis functions with multiple shape parameters λ_i

$$\begin{split} b_{i-2}(u) &= \frac{1}{2} \{ (1 - \sin t)(1 - \lambda_{i-1} \sin t) + (1 - \frac{2}{\pi}t)^2 \} \\ b_i(u) &= \frac{1}{2} \{ (1 - \cos t)(1 - \lambda_i \cos t) + (\frac{2}{\pi}t)^2 \} \\ b_{i-1}(u) &= 1 - b_{i-2}(u) - b_i(u) , \quad \text{where} \\ u &\in [u_i, u_{i+1}], t = \frac{\pi(u - u_i)}{2\Delta u_i} \end{split}$$

These basis functions have the following properties:

(1)
$$\sum_{j=i-2}^{i} b_j(u) = 1$$
, for $u \in [u_i, u_{i+1})$,
(2) For $u \in [u_i, u_{i+1})$, if $\lambda_i \in [-2, a]$,
where $a = 1 + 2(\frac{2}{\pi})^2$,
then $b_j(u) > 0$ $(j = i - 2, i - 1, i)$
For the corresponding quadratic NULAT curve (4) is

For the corresponding quadratic NUAT curve (4) in this case, we have

$$T(u_i) = P_{i-2} , T(u_{i+1}) = P_i , T'(u_i) = \frac{1}{\Delta u_i} \{ \frac{\pi}{4} (1 + \lambda_{i-1}) + 1 \} (P_{i-1} - P_{i-2}) T'(u_{i+1}) = \frac{1}{\Delta u_i} \{ \frac{\pi}{4} (1 + \lambda_i) + 1 \} (P_i - P_{i-1})$$

We call the corresponding quadratic NUAT curve (4) as Quadratic NUAT Bézier curve with multiple shape parameters.

Approximability

Control polygon provides an important tool in geometric modeling. It is an advantage if the curve being modeled tends to preserve the shape of its control polygon.Now we show the relations of the quadratic NUAT curves with the B-spline curves of degree d (d=2, 3) and the quadratic trigonometric curves [5] corresponding to their control polygon.

Given data points $P_i \in R^2$ or R^3 (i=0, 1, 2,, n) and

knots $u_0 < u_1 < u_2 < \dots < u_{n+3}$). For $u \in [u_i, u_{i+1}]$, the associated B-spline curve of degree d (d=2 and 3) can be given by

$$B_i(s) = a_{i0}(s)P_{i-2} + a_{i1}(s)P_{i-1} + a_{i2}(s)P_i(6)$$

Where $s=\frac{(u-u_i)}{\Delta u_i}$
 $a_{i0}(s) = \alpha_i(1-s)^d$
 $a_{i1}(s) = 1 - \alpha_i(1-s)^d - \beta_i s^d$
 $a_{i2}(s) = \beta_i s^d$
With a non-uniform knot vector II, it is easy to show that

With a non-uniform knot vector U, it is easy to show that $T_i(u_i) = B_i(u_i)$ for i=2, 3,, n+1. From (4) we obtain

$$T(u) - P_{i-1} = b_{i-2}(u)(P_{i-2} - P_{i-1}) + b_i(u)(P_i - P_{i-1})$$

then taking the norm we obtain

 $b_{i-2}(u) + b_i(u)$ get its minimum value at $u = \frac{u_i + u_{i+1}}{2}$ (i.e. $t_i = \frac{\pi}{4}$) and

$$T(\frac{u_i + u_{i+1}}{2}) - P_{i-1} = \frac{\alpha_i}{2} \{ (1 - \frac{1}{\sqrt{2}})(1 - \frac{\lambda_{i-1}}{\sqrt{2}}) + \frac{1}{4} \} (P_{i-2} - P_{i-1}) + \frac{1}{2} \} (P_{i-2} - P_{i-1}) + \frac{1}$$

$$\frac{\beta_i}{2} \{ (1 - \frac{1}{\sqrt{2}})(1 - \frac{\lambda_i}{\sqrt{2}}) + \frac{1}{4} \} (P_i - P_{i-1})$$
 at

$$\begin{split} \lambda_{i-1} &= \lambda_i = \lambda \ \forall i = 0, 1, \dots, n, \text{ we have} \\ T(\frac{u_i + u_{i+1}}{2}) - P_{i-1} &= \frac{1}{4}[(\sqrt{2} - 1)(\sqrt{2} - \lambda) + \frac{1}{2}][\alpha_i P_{i-2} - (\alpha_i + \beta_i) P_{i-1} + \beta_i P_i] \end{split}$$

For the associated Quadratic B-spline curve (d=2) segment $B_i(s)$ we have $B_i(\frac{1}{2}) - P_{i-1} = \frac{1}{4}[\alpha_i P_{i-2} - (\alpha_i + \beta_i)P_{i-1} + \beta_i P_i]$

Let
$$\frac{1}{4}[(\sqrt{2}-1)^{(}\sqrt{2}-\lambda)+\frac{1}{2}]=\frac{1}{4}$$
 , then $\lambda=-0.20710$. From here we know that Quadratic NUAT curves are closer to the control polygons than the Quadratic B-spline curves when $\lambda>-0.20710$. Similarly we can show that Quadratic NUAT curves are closer to the control polygons than the - (i) cubic B-spline curves (d=3) when $\lambda>1.4142$ and (ii) Quadratic trigonometric curves of [5] when $\lambda>1.7927$

The Quadratic NUAT Surfaces

Given control points P_{ij} (i=0,1,2,...,m; j=0,1,2,...,n) and the knot vectors $U = (u_0, u_1, u_2, ..., u_{m+3})$ and $V = (v_0, v_1, v_2, ..., v_{n+3})$, using the tensor product method, we can construct quadratic NUAT surface $T(u, v) = \sum_{i=0}^{m} \sum_{j=0}^{n} b_i(\lambda_{1,i}, u) b_j(\lambda_{2,j}, v) P_{ij};$ $m, n \ge 2; u \in [u_2, u_{m+1}], v \in [v_2, v_{n+1}]$ where $b_i(\lambda_{1,i}, u)$ and $b_j(\lambda_{2,j}, v)$ are the quadratic NUAT basis functions with multiple shape parameters $\lambda_{1,i}$ and $\lambda_{2,j}$ respectively. Obviously these surfaces have properties similar to the corresponding quadratic NUAT curves. This surface is C^1 continuous. In addition, since the surfaces have multiple shape parameters, the shape of the surfaces can be adjusted from two direction (in each direction using multiple shape parameters), so they can more conveniently be used in the outline design.

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Table 1- Some computed examples with different values of shape parameters λ_i